Entanglement properties of quantum field theory

A note of Witten's paper "APS Medal for Exceptional Achievement in Research: Invited article on entanglement properties of quantum field theory"

> Part II: The Modular Operator and Relative Entropy in Quantum Field Theory

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A Review

- The Reeh-Schlieder Theorem
- The Modular Operator and Relative Entropy

II. The relative modular operator

• The relative Tomita operator (Araki, 1975)

Inequalities in von Neumann algebras*

Huzihiro ARAKI

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<u>Abstract</u> Generalization of inequalities involving trace of matrices to von Neumann algebras not having traces in general is discussed.

Inequalities in Von Neumann Algebras

Araki, Huzihiro Les rencontres physiciens-mathématiciens de Strasbourg -RCP25, Tome 22 (1975), Exposé no. 1, 25 p.



Huzihiro Araki 荒木 不二洋 (1932/07/28-)

II. The relative modular operator

• The relative Tomita operator:

let $|\Psi\rangle$ and $|\Phi\rangle$ be both cyclic and separating (normalized) vectors for the local observable algebra $\mathfrak{A}(\mathscr{U})$ and its commutant $\mathfrak{A}(\mathscr{U})'$, the relative Tomita operator $S_{\Psi|\Phi}$ for the algebra $\mathfrak{A}(\mathscr{U})$ is defined by

$$S_{\Psi|\Phi} (\mathbf{a} | \Psi \rangle) = \mathbf{a}^{\dagger} | \Phi \rangle$$

for $\forall a \in \mathfrak{A}(\mathcal{U})$.

II. The relative modular operator

- The relative Tomita operator
 - 1. $|\Psi\rangle$ is separating $\Rightarrow S_{\Psi|\Phi}|0\rangle = 0$, the definition is consistent
 - 2. $|\Psi\rangle$ is cyclic $\Rightarrow S_{\Psi|\Phi}$ is defined on a dense subset of \mathscr{H}
 - 3. The condition $|\Phi\rangle$ is also cyclic and separating makes sure the $S_{\Phi|\Psi}$ is also well-defined, and $S_{\Phi|\Psi}S_{\Psi|\Phi} = 1$.
 - 4. $S'_{\Psi|\Phi} = S^{\dagger}_{\Psi|\Phi}$
 - 5. $S_{\Psi|\Phi}|\Psi\rangle = |\Phi\rangle$

$$S_{\Psi|\Phi} \left(\mathbf{a} \,|\, \Psi \right) = \mathbf{a}^{\dagger} \,|\, \Phi \right)$$

II. The relative modular operator

- The relative modular operator and modular conjugation
 - 1. The relative modular operator $\Delta_{\Psi|\Phi} = S_{\Psi|\Phi}^{\dagger} S_{\Psi|\Phi}$ is positive semidefinite, and is positive definite iff $S_{\Psi|\Phi}$ is invertible
 - 2. If $|\Phi\rangle = |\Psi\rangle$, $\Delta_{\Psi|\Psi} = \Delta_{\Psi}$.
 - 3. The relative modular conjugation is defined by $S_{\Psi|\Phi} = J_{\Psi|\Phi} \Delta_{\Psi|\Phi}^{1/2}$
 - 4. If $|\Phi\rangle$ is not separating, then $S_{\Psi|\Phi}$ has a kernel, which is the same to the kernel of $\Delta_{\Psi|\Phi}$. $J_{\Psi|\Phi}$ is defined to annihilate this kernel.
 - 5. If $|\Phi\rangle$ is not cyclic, then the image of $S_{\Psi|\Phi}$ is not dense. Then $J_{\Psi|\Phi}$ is an antiunitary map from the orthocomplement of the kernel of $S_{\Psi|\Phi}$ to its image.

$$S_{\Psi|\Phi} (\mathbf{a} | \Psi \rangle) = \mathbf{a}^{\dagger} | \Phi \rangle$$

II. The relative modular operator

$$S_{\Psi|\Phi} \left(\mathbf{a} \,|\, \Psi \right) = \mathbf{a}^{\dagger} \,|\, \Phi \rangle$$

II. The relative modular operator

• Behavior under unitary transformation:

 $|\Phi\rangle \rightarrow a' |\Phi\rangle, \ a'^{\dagger}a' = a'a'^{\dagger} = 1' \text{ (unit in }\mathfrak{U}(\mathscr{U})')$

$$S_{\Psi|\Phi} \left(\mathbf{a} \left| \Psi \right\rangle \right) = \mathbf{a}^{\dagger} \left| \Phi \right\rangle$$

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$$\Rightarrow S_{\Psi|\mathbf{a}'\Phi}\mathbf{a} |\Psi\rangle = \mathbf{a}^{\dagger}(\mathbf{a}' |\Phi\rangle) = \mathbf{a}'\mathbf{a}^{\dagger} |\Phi\rangle = \mathbf{a}'S_{\Psi|\Phi}\mathbf{a} |\Psi\rangle$$

$$S_{\Psi|\Phi} \left(\mathbf{a} \left| \Psi \right\rangle \right) = \mathbf{a}^{\dagger} \left| \Phi \right\rangle$$

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$$\therefore \ \Delta_{\Psi|\mathbf{a}'\Phi} = S_{\Psi|\mathbf{a}'\Phi}^{\dagger} S_{\Psi|\mathbf{a}'\Phi} = S_{\Psi|\Phi}^{\dagger} \mathbf{a}'^{\dagger} \mathbf{a}' S_{\Psi|\Phi} = S_{\Psi|\Phi}^{\dagger} S_{\Psi|\Phi} = \Delta_{\Psi|\Phi}$$

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$$S_{\Psi|\mathbf{a}'\Phi} = \mathbf{a}' S_{\Psi|\Phi}, \ \Delta_{\Psi|\mathbf{a}'\Phi} = \Delta_{\Psi|\Phi}$$

$$S_{\Psi|\Phi} (\mathbf{a} | \Psi \rangle) = \mathbf{a}^{\dagger} | \Phi \rangle$$

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• The relative modular operator transformation:

$$S_{\Psi|\Phi} \left(\mathbf{a} \,|\, \Psi \right) = \mathbf{a}^{\dagger} \,|\, \Phi \rangle$$

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 $\langle \mathbf{a}^{\dagger} \Psi \, | \, \Delta_{\Psi | \Phi} \, | \, \mathbf{b} \Psi \rangle = \langle \mathbf{a}^{\dagger} \Psi \, | \, S_{\Psi | \Phi}^{\dagger} S_{\Psi | \Phi} \, | \, \mathbf{b} \Psi \rangle = \langle S_{\Psi | \Phi} \mathbf{b} \Psi \, | \, S_{\Psi | \Phi} \mathbf{a}^{\dagger} \Psi \rangle$

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$$= \langle \mathbf{b}^{\dagger} \Phi | \mathbf{a} \Phi \rangle$$

$$S_{\Psi|\Phi} \left(\mathbf{a} \,|\, \Psi \right\rangle \right) = \mathbf{a}^{\dagger} \,|\, \Phi \rangle$$

III. Relative entropy in quantum field theory

 Relative entropy in classical information theory: <u>Kullback-Leibler</u> <u>divergence (1951)</u>



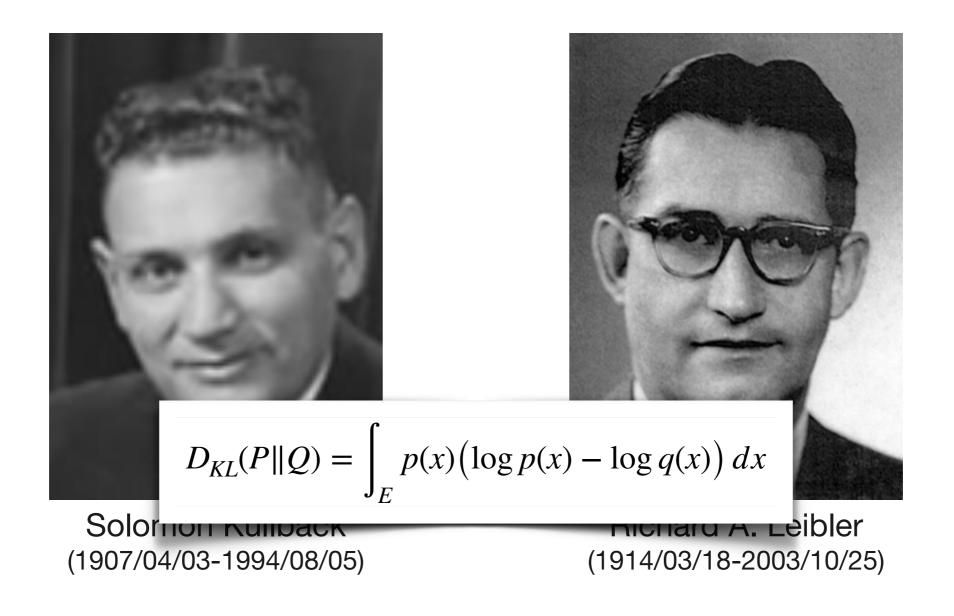
Solomon Kullback (1907/04/03-1994/08/05)



Richard A. Leibler (1914/03/18-2003/10/25)

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- "A degree of surprising" $\langle \log(1/p) \rangle$: an example, distributions on n-state.

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$$S(1||2) = -\sum_{i=1}^{n} p_{1}(i)\log p_{2}(i) - \left[-\sum_{i=1}^{n} p_{1}(i)\log p_{1}(i)\right] \to \infty$$

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$$S(2||1) = -\sum_{i=1}^{n} p_{2}(i)\log p_{1}(i) - \left[-\sum_{i=1}^{n} p_{2}(i)\log p_{2}(i)\right] = \log n$$

III. Relative entropy in quantum field theory

 Relative entropy in quantum mechanics: <u>Hisaharu Umegaki (梅垣</u> <u>寿春, 1962)</u>

CONDITIONAL EXPECTATION IN AN OPERATOR ALGEBRA, IV (ENTROPY AND INFORMATION)

By HISAHARU UMEGAKI

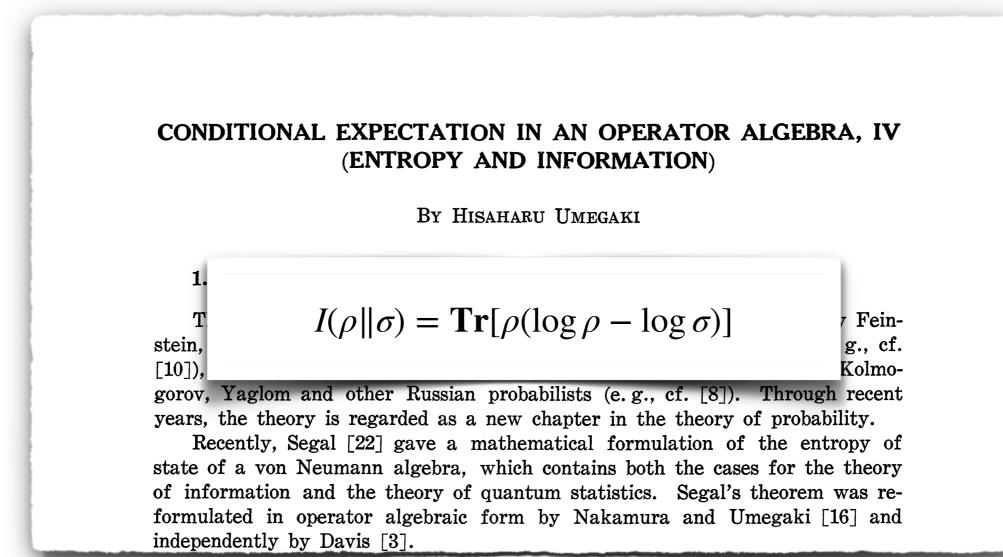
1. Introduction.

The theory of information, created by Shannon [23], is developed by Feinstein, Kullback, MacMillan, Wiener and other American statisticians (e.g., cf. [10]), and also advanced into the ergodic theory by Gelfand, Khinchin, Kolmogorov, Yaglom and other Russian probabilists (e.g., cf. [8]). Through recent years, the theory is regarded as a new chapter in the theory of probability.

Recently, Segal [22] gave a mathematical formulation of the entropy of state of a von Neumann algebra, which contains both the cases for the theory of information and the theory of quantum statistics. Segal's theorem was reformulated in operator algebraic form by Nakamura and Umegaki [16] and independently by Davis [3].

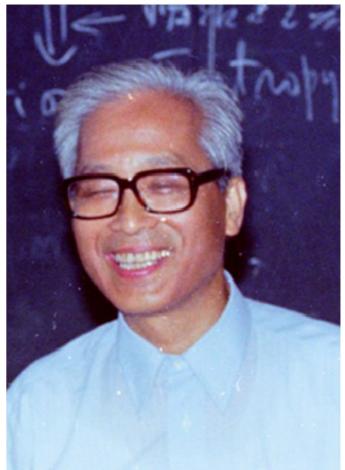
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Hisaharo Umegaki 梅垣 寿春 (うめがき ひさはる) (1925-2012/05/22)

III. Relative entropy in quantum field theory

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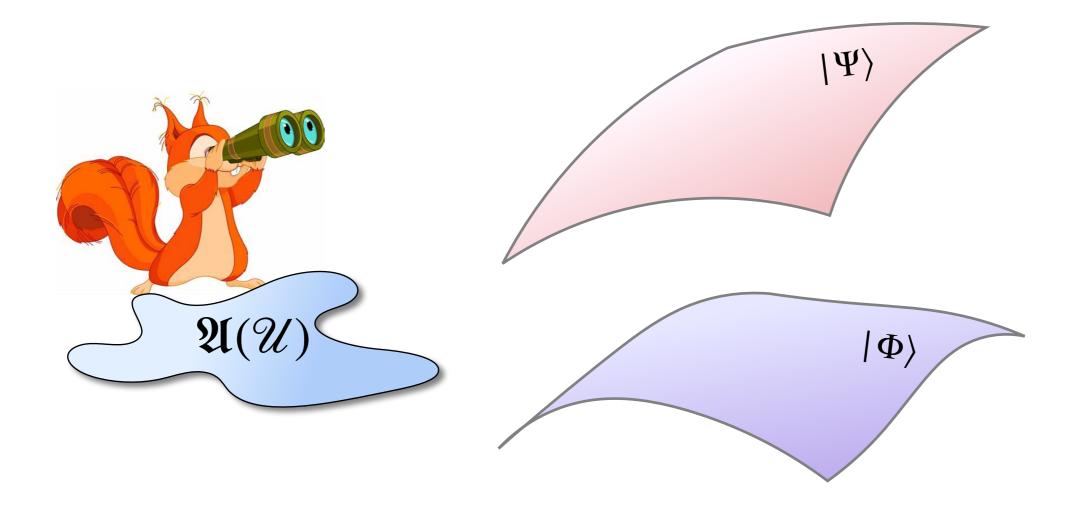
III. Relative entropy in quantum field theory

 Relative entropy in quantum field theory: Huzihiro Araki (荒木不二 洋, 1975-1976)

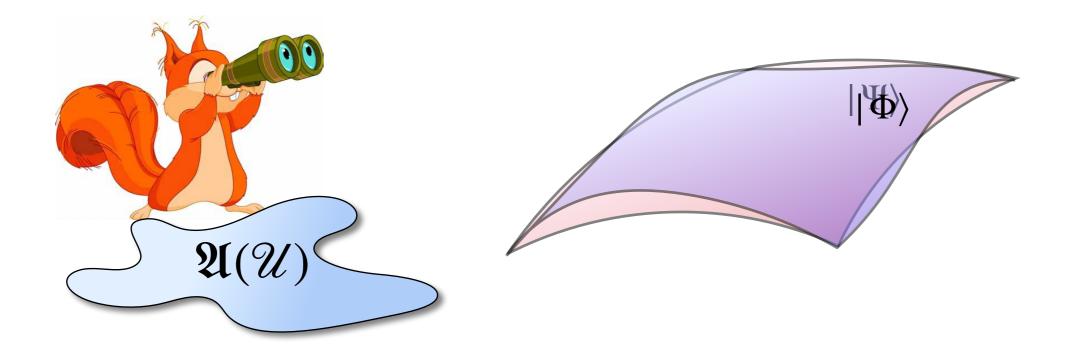


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III. Relative entropy in quantum field theory



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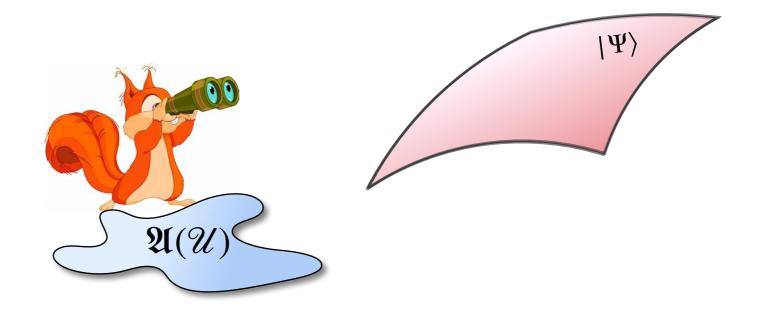
The relative entropy $S_{\Psi|\Phi}(\mathcal{U})$ between two states $|\Psi\rangle$ and $|\Phi\rangle$, for measurements in the region \mathcal{U} , is

$$\mathcal{S}_{\Psi \mid \Phi}(\mathcal{U}) = - \left\langle \Psi \mid \log \Delta_{\Psi \mid \Phi; \mathcal{U}} \mid \Psi \right\rangle$$

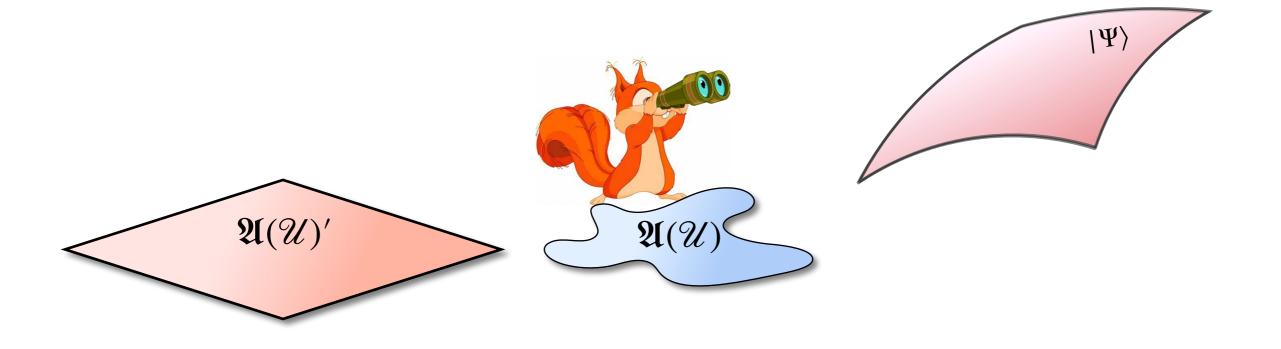
- Basic properties of the relative entropy
 - 1. $\mathcal{S}_{\Psi|\Phi}(\mathcal{U})$ is a real number or $+\infty$;
 - 2. If $|\Phi\rangle$ is not a separating vector of $\mathfrak{A}(\mathscr{U})$, 0 is a eigenvalue of $\Delta_{\Psi|\Phi;\mathscr{U}}$, then $\mathcal{S}_{\Psi|\Phi}(\mathscr{U})$ may be $+\infty$;

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 - 3. $\mathcal{S}_{\Psi|\Phi}(\mathcal{U})$ is always non-negative.

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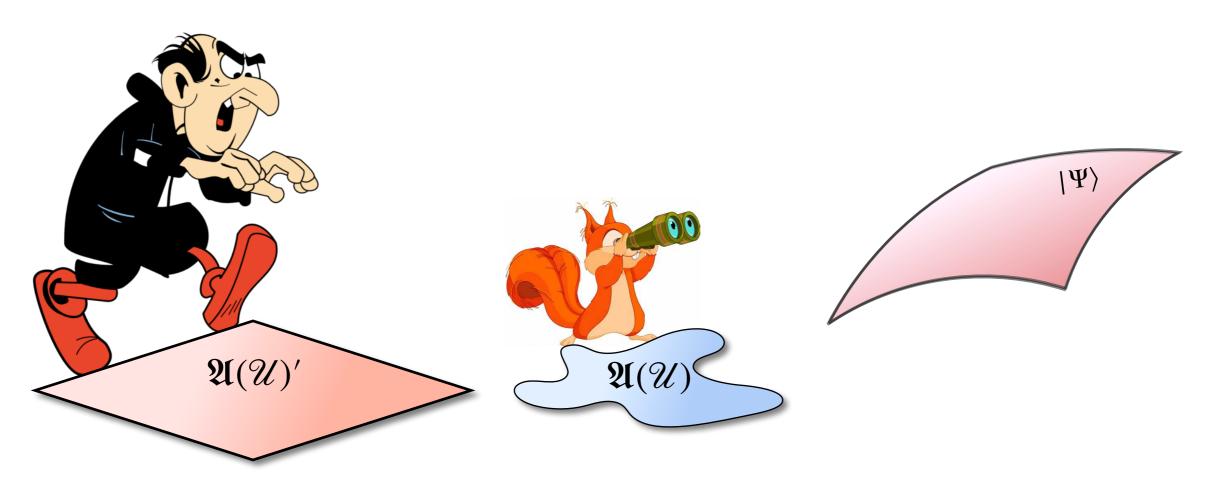


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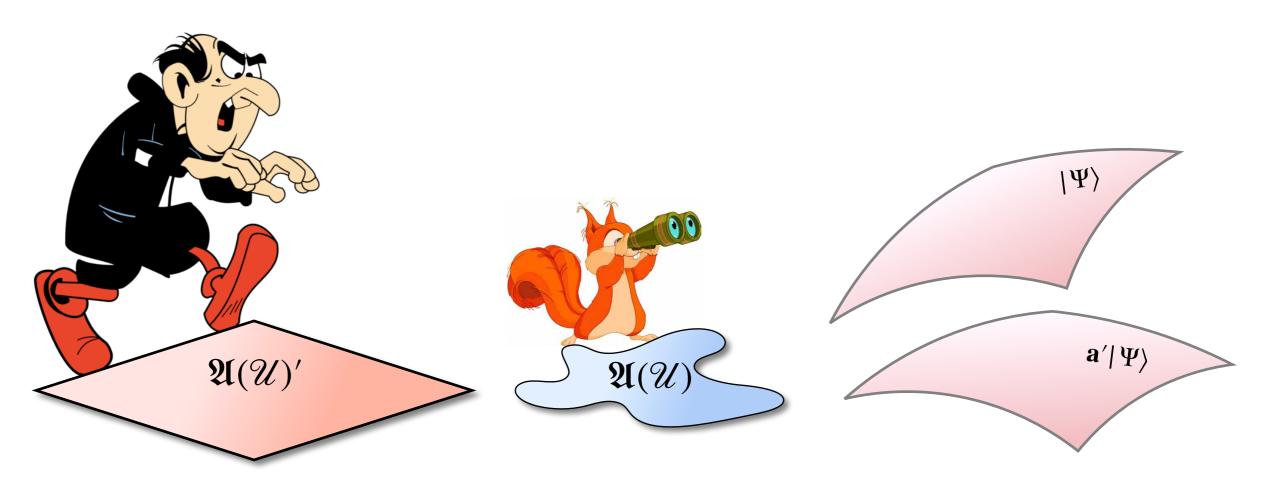
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If $|\Phi\rangle = \mathbf{a}' |\Psi\rangle$, $\mathbf{a}' \in \mathfrak{A}(\mathscr{U})'$, $\mathbf{a}'^{\dagger}\mathbf{a}' = \mathbf{1}'$, then $\Delta_{\Psi|\Phi;\mathscr{U}} = \Delta_{\Psi|\Psi} = \Delta_{\Psi}$, so we have $f(\Delta_{\Psi}) |\Psi\rangle = f(1) |\Psi\rangle$. That proves

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$$\mathcal{S}_{\Psi|\mathbf{a}'\Psi}(\mathcal{U}) = -\langle \Psi|\log(1)|\Psi\rangle = 0$$

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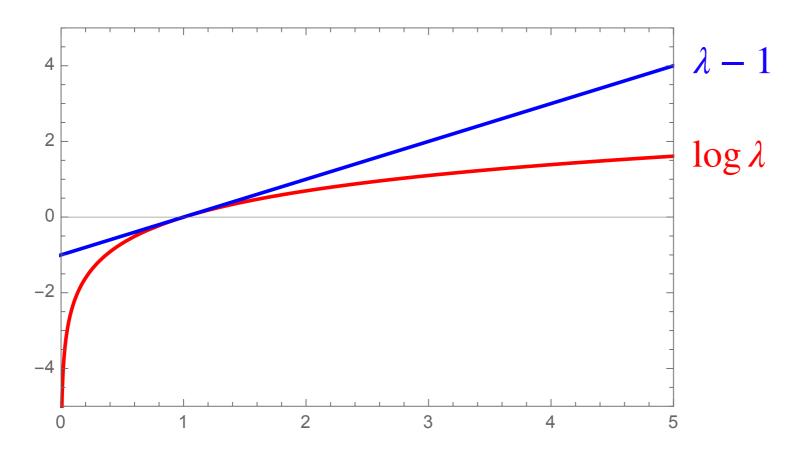
$$\mathcal{S}_{\Psi|\mathbf{a}'\Psi}(\mathcal{U}) = -\langle \Psi | \log(1) | \Psi \rangle = 0$$
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To show $\mathcal{S}_{\Psi|\Phi}(\mathcal{U}) > 0$ for $|\Phi\rangle \neq \mathbf{a}' |\Psi\rangle$, one uses $\log \lambda \leq \lambda - 1$ $(\lambda > 0)$

 $\mathcal{S}_{\Psi|\Phi}(\mathcal{U}) = -\langle \Psi | \log \Delta_{\Psi|\Phi;\mathcal{U}} |$



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 $\mathcal{S}_{\Psi|\Phi}(\mathcal{U}) = - \left\langle \Psi | \log \Delta_{\Psi|\Phi;\mathcal{U}} | \Psi \right\rangle \ge \left\langle \Psi | (1 - \Delta_{\Psi|\Phi;\mathcal{U}}) | \Psi \right\rangle$

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$$\begin{split} \mathcal{S}_{\Psi|\Phi}(\mathcal{U}) &= -\left\langle \Psi | \log \Delta_{\Psi|\Phi;\mathcal{U}} | \Psi \right\rangle \geqslant \left\langle \Psi | (\mathbf{1} - \Delta_{\Psi|\Phi;\mathcal{U}}) | \Psi \right\rangle \\ &= \left\langle \Psi | \Psi \right\rangle - \left\langle \Psi | \Delta_{\Psi|\Phi;\mathcal{U}} | \Psi \right\rangle \end{split}$$

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 $S_{\Psi|\Phi}(\mathcal{U}) = -\langle \Psi | \log \Delta_{\Psi|\Phi;\mathcal{U}} | \Psi \rangle \ge \langle \Psi | (\mathbf{1} - \Delta_{\Psi|\Phi;\mathcal{U}}) | \Psi \rangle$ $= \langle \Psi | \Psi \rangle - \langle \Psi | \Delta_{\Psi|\Phi;\mathcal{U}} | \Psi \rangle$ $= \langle \Psi | \Psi \rangle - \langle \Psi | S_{\Psi|\Phi}^{\dagger} S_{\Psi|\Phi} | \Psi \rangle$ $= \langle \Psi | \Psi \rangle - \langle \Phi | \Phi \rangle = 0$

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$$\Delta_{\Psi|\Phi;\mathscr{U}}|\Psi\rangle = |\Psi\rangle \implies \forall |\chi\rangle \in \mathscr{H}, \, \langle \chi|\Delta_{\Psi|\Phi;\mathscr{U}}|\Psi\rangle = \langle \chi|\Psi\rangle$$

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$$\begin{split} \Delta_{\Psi|\Phi;\mathscr{U}}|\Psi\rangle &= |\Psi\rangle \Rightarrow \forall |\chi\rangle \in \mathscr{H}, \, \langle \chi | \Delta_{\Psi|\Phi;\mathscr{U}} |\Psi\rangle = \langle \chi |\Psi\rangle \\ \because \forall |\chi\rangle \in \mathscr{H}, \, \exists \ \mathbf{a} \in \mathfrak{A}(\mathscr{U}) \ \text{s.t.} \ |\chi\rangle &= \mathbf{a} |\Psi\rangle \\ \langle \mathbf{a}\Psi | \Delta_{\Psi|\Phi;\mathscr{U}} |\Psi\rangle &= \langle \mathbf{a}\Psi | S_{\Psi|\Phi}^{\dagger} S_{\Psi|\Phi} |\Psi\rangle = \langle \mathbf{a}\Psi | S_{\Psi|\Phi}^{\dagger} |\Phi\rangle \\ &= \langle \Phi | S_{\Psi|\Phi} \mathbf{a} |\Psi\rangle = \langle \Phi | \mathbf{a}^{\dagger} |\Phi\rangle \end{split}$$

 $\mathcal{S}_{\Psi \mid \Phi}(\mathcal{U}) = - \left< \Psi \mid \log \Delta_{\Psi \mid \Phi; \mathcal{U}} \mid \Psi \right>$

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 $\mathcal{S}_{\Psi \mid \Phi}(\mathcal{U}) = - \left< \Psi \mid \log \Delta_{\Psi \mid \Phi; \mathcal{U}} \mid \Psi \right>$

III. Relative entropy in quantum field theory

- Basic properties of the relative entropy
 - 3. $\mathcal{S}_{\Psi|\Phi}(\mathcal{U})$ is always non-negative.

$$\begin{split} \Delta_{\Psi|\Phi;\mathscr{U}}|\Psi\rangle &= |\Psi\rangle \Rightarrow \forall |\chi\rangle \in \mathscr{H}, \, \langle \chi | \Delta_{\Psi|\Phi;\mathscr{U}} |\Psi\rangle = \langle \chi |\Psi\rangle \\ \because \forall |\chi\rangle \in \mathscr{H}, \, \exists \ \mathbf{a} \in \mathfrak{A}(\mathscr{U}) \ \text{s.t.} \ |\chi\rangle &= \mathbf{a} |\Psi\rangle \\ \langle \mathbf{a}\Psi | \Delta_{\Psi|\Phi;\mathscr{U}} |\Psi\rangle &= \langle \mathbf{a}\Psi | S_{\Psi|\Phi}^{\dagger} S_{\Psi|\Phi} |\Psi\rangle = \langle \mathbf{a}\Psi | S_{\Psi|\Phi}^{\dagger} |\Phi\rangle \\ &= \langle \Phi | S_{\Psi|\Phi} \mathbf{a} |\Psi\rangle = \langle \Phi | \mathbf{a}^{\dagger} |\Phi\rangle \\ \Rightarrow \langle \Psi | \mathbf{a}^{\dagger} |\Psi\rangle &= \langle \Phi | \mathbf{a}^{\dagger} |\Phi\rangle, \, \forall \ \mathbf{a} \in \mathfrak{A}(\mathscr{U}) \\ &\quad \langle \mathbf{a}\Psi |\Psi\rangle = \langle \mathbf{a}\Phi |\Phi\rangle, \, \forall \ \mathbf{a} \in \mathfrak{A}(\mathscr{U}) \end{split}$$

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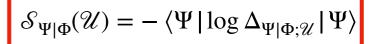
 $\langle \mathbf{a}\Psi | \Psi \rangle = \langle \mathbf{a}\Phi | \Phi \rangle, \ \forall \ \mathbf{a} \in \mathfrak{A}(\mathscr{U})$

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 a^\prime is bounded, so can be defined on the whole Hilbert space

 $\forall \mathbf{a}, \mathbf{b}, \mathbf{c} \in \mathfrak{A}(\mathscr{U}), \ \langle \mathbf{c}\Psi | \mathbf{a}\mathbf{a}' | \mathbf{b}\Psi \rangle = \langle \mathbf{c}\Psi | \mathbf{a}\mathbf{a}'\mathbf{b} | \Psi \rangle = \langle \mathbf{a}^{\dagger}\mathbf{c}\Psi | \mathbf{b}\Phi \rangle$

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Because $a |\Psi\rangle$ is dense in the Hilbert space, one can define linear unitary operator $a' : a |\Psi\rangle \mapsto a |\Phi\rangle$ for $\forall a \in \mathfrak{A}(\mathscr{U})$.

 $\mathbf{a} \text{ is linear } \Rightarrow \mathbf{a}' \text{ is linear}$

a' is bounded, so can be defined on the whole Hilbert space $\forall a, b, c \in \mathfrak{A}(\mathscr{U}), \langle c\Psi | aa' | b\Psi \rangle = \langle c\Psi | aa'b | \Psi \rangle = \langle a^{\dagger}c\Psi | b\Phi \rangle$ $= \langle c\Psi | ab\Phi \rangle = \langle c\Psi | a'a | b\Psi \rangle \Rightarrow a' \in \mathfrak{A}(\mathscr{U})'$

III. Relative entropy in quantum field theory

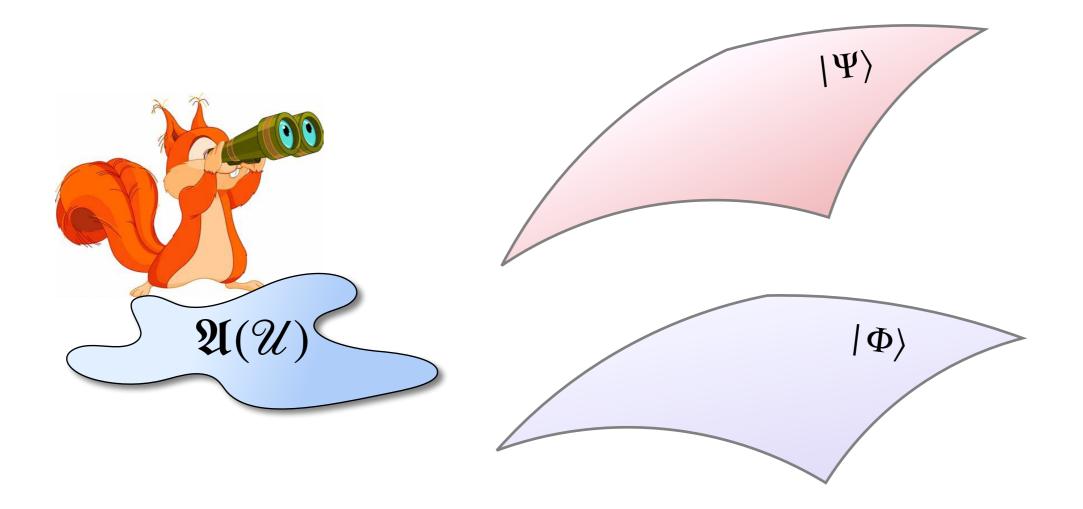
- Basic properties of the relative entropy
 - 3. $\mathscr{S}_{\Psi|\Phi}(\mathscr{U})$ is always non-negative. It is zero iff there is an unitary operator $\mathbf{a}' \in \mathfrak{A}(\mathscr{U})'$ satisfies $|\Phi\rangle = \mathbf{a}'|\Psi\rangle$

III. Relative entropy in quantum field theory

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- The positivity of relative entropy is a very important.
- Another key property of relative entropy is monotonicity.

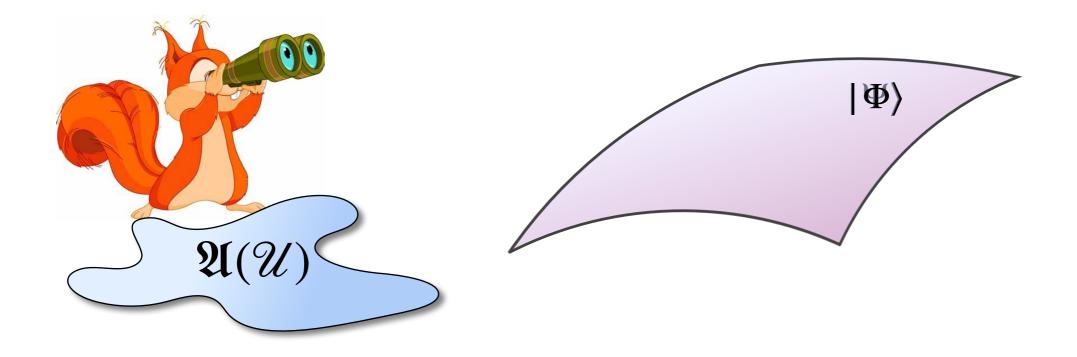
IV. Monotonicity of relative entropy

• Monotonicity of relative entropy



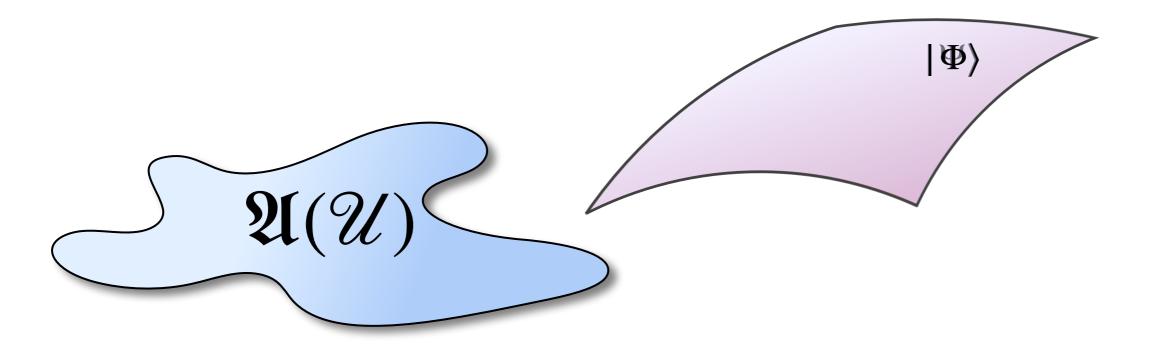
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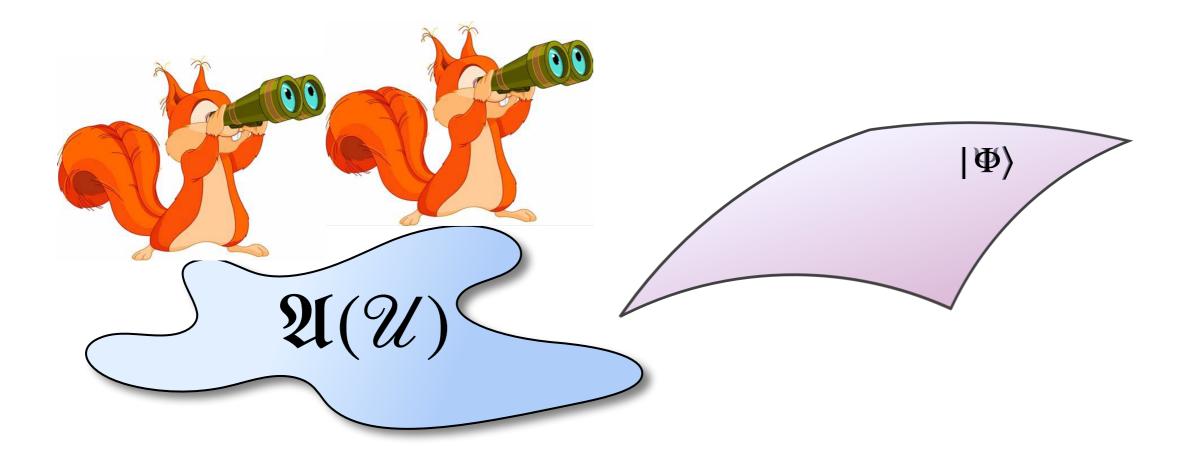
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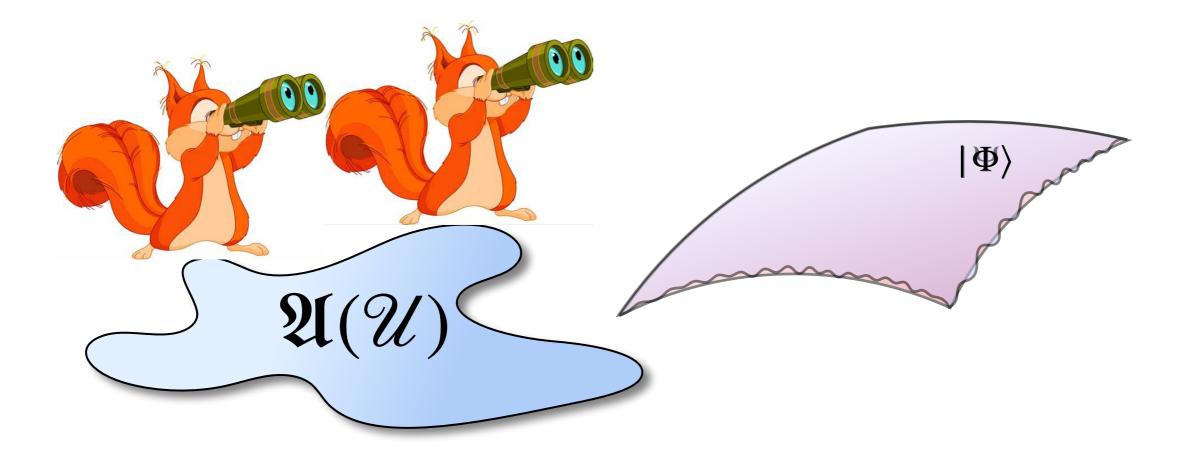
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IV. Monotonicity of relative entropy

• Monotonicity of relative entropy:

If $\tilde{\mathcal{U}} \subset \mathcal{U}$, then

$$\mathcal{S}_{\Psi|\Phi}(\tilde{\mathcal{U}}) = -\langle \Psi | \log \Delta_{\Psi|\Phi;\tilde{\mathcal{U}}} | \Psi \rangle \leqslant \mathcal{S}_{\Psi|\Phi}(\mathcal{U}) = -\langle \Psi | \log \Delta_{\Psi|\Phi;\mathcal{U}} | \Psi \rangle$$

IV. Monotonicity of relative entropy

- Monotonicity of relative entropy
 - The monotonicity is a direct consequence of the relation

$$\tilde{\mathcal{U}} \subset \mathcal{U} \; \Rightarrow \; \Delta_{\Psi \mid \Phi; \tilde{\mathcal{U}}} \geqslant \Delta_{\Psi \mid \Phi; \mathcal{U}}$$

- What does it mean? And why is it sufficient?

IV. Monotonicity of relative entropy

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- **Positive operator**: a self-adjoint operator *P* is called positive iff $\langle \psi | P | \psi \rangle \ge 0$ for any $| \psi \rangle \in \mathcal{H}$;
- If *P* and *Q* are both **bounded** self-adjoint operators, $P \ge Q$ means $P Q \ge 0$;
- How about generic *P* and *Q*?

IV. Monotonicity of relative entropy

- Monotonicity of relative entropy
 - If $P, Q \ge 0$,

$$P \ge Q \iff \forall s > 0, \ \frac{1}{s+P} \le \frac{1}{s+Q}$$

- Proof: " \Rightarrow ", consider a (one-real-parameter) family of operators $R(t) = tP + (1 - t)Q, t \in \mathbb{R}$, then $\dot{R} = dR/dt = P - Q \ge 0$, and

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$$\frac{d}{dt}\frac{1}{s+R(t)} = -\frac{1}{s+R(t)}\dot{R}\frac{1}{s+R(t)} \leqslant 0$$

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$$\frac{d}{dt}\frac{1}{s+R(t)} = -\frac{1}{s+R(t)}\dot{R}\frac{1}{s+R(t)} \leqslant 0$$
$$\Rightarrow \frac{1}{s+P} = \frac{1}{s+R(1)} \leqslant \frac{1}{s+R(0)} = \frac{1}{s+Q}$$

IV. Monotonicity of relative entropy

• Monotonicity of relative entropy

- If
$$P, Q \ge 0$$
,

$$P \ge Q \iff \forall s > 0, \frac{1}{s+P} \le \frac{1}{s+Q}$$

Proof: "⇐" (same method)

IV. Monotonicity of relative entropy

- Monotonicity of relative entropy
 - For general (unbounded) non-negative operator , it is reasonable to define If $P \ge Q$ by

$$\langle \psi | \frac{1}{s+P} | \psi \rangle \leq \langle \psi | \frac{1}{s+Q} | \psi \rangle, \ \forall | \psi \rangle \in \mathcal{H}, \ s \in \mathbb{R}^+$$

- Because 1/(s + P) and 1/(s + Q) are bounded and hence could be defined in the whole Hilbert space, this is a much stronger and more useful statement than just saying that $\langle \psi | P | \psi \rangle \ge \langle \psi | Q | \psi \rangle$ for all $|\psi\rangle$ on which both *P* and *Q* are defined.

IV. Monotonicity of relative entropy

- Monotonicity of relative entropy
 - Because 1/(s + R) is a decreasing function of (positive) R,

$$\log R = \int_0^{+\infty} ds \left(\frac{1}{s+1} - \frac{1}{s+R} \right)$$

is an increasing function of *R*.

- This proves that $P \ge Q$ (or $1/(s+P) \le 1/(s+Q)$) implies

 $\log P \ge \log Q$

 $\texttt{-} \hspace{0.1cm} \text{So} \hspace{0.1cm} \Delta_{\Psi \mid \Phi; \widetilde{\mathscr{U}}} \geqslant \Delta_{\Psi \mid \Phi; \widetilde{\mathscr{U}}} \hspace{0.1cm} \Rightarrow \hspace{0.1cm} \log \Delta_{\Psi \mid \Phi; \widetilde{\mathscr{U}}} \geqslant \log \Delta_{\Psi \mid \Phi; \widetilde{\mathscr{U}}}$

IV. Monotonicity of relative entropy

- Monotonicity of relative entropy
 - Another useful inequality

$$R^{\alpha} = \frac{\sin \pi \alpha}{\pi} \int_{0}^{+\infty} s^{\alpha} \left(\frac{1}{s} - \frac{1}{s+R}\right) ds, \quad 0 < \alpha < 1$$
$$\frac{d}{dt} R^{\alpha} = \frac{\sin \pi \alpha}{\pi} \int_{0}^{+\infty} s^{\alpha} \frac{1}{s+R} \dot{R} \frac{1}{s+R} ds$$
$$\therefore \ \dot{R} \ge 0 \ \Rightarrow \ R^{\alpha} \nearrow$$

IV. Monotonicity of relative entropy

- Monotonicity of relative entropy
 - Another useful inequality

$$R^{\alpha} = R \cdot R^{\beta} = \frac{\sin \pi (\alpha - 1)}{\pi} \int_{0}^{+\infty} s^{\alpha - 1} \left(\frac{R}{s} - 1 + \frac{s}{s + R}\right) ds, \quad 1 < \alpha < 2$$
$$\frac{d}{dt} R^{\alpha} = \frac{\sin \pi (\alpha - 1)}{\pi} \int_{0}^{+\infty} s^{\alpha - 1} \left(\frac{\dot{R}}{s} - s \frac{1}{s + R} \dot{R} \frac{1}{s + R}\right) ds$$
$$\therefore \ \dot{R} \ge 0 \ \Rightarrow \ R^{\alpha} \nearrow$$

- For example:

$$R = \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}, \dot{R} = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, |\chi\rangle = \begin{pmatrix} 1 \\ -1 \end{pmatrix}, \Rightarrow \langle \chi | \frac{d}{dt} R^{\alpha} | \chi \rangle < 0$$

- Why monotonicity?
- If $|\Psi\rangle$ is cyclic vector for both $\mathfrak{A}(\mathscr{U})$ and $\mathfrak{A}(\widetilde{\mathscr{U}})$:

$$\Delta_{\Psi|\Phi;\mathscr{U}} = S_{\Psi|\Phi;\mathscr{U}}^{\dagger} S_{\Psi|\Phi;\mathscr{U}}, \quad S_{\Psi|\Phi;\mathscr{U}} : \mathbf{a} | \Psi \rangle \mapsto \mathbf{a}^{\dagger} | \Phi \rangle, \quad \forall \mathbf{a} \in \mathfrak{A}(\mathscr{U})$$

$$\Delta_{\Psi|\Phi;\widetilde{\mathscr{U}}} = S_{\Psi|\Phi;\widetilde{\mathscr{U}}}^{\dagger} S_{\Psi|\Phi;\widetilde{\mathscr{U}}}, \quad S_{\Psi|\Phi;\widetilde{\mathscr{U}}} : \mathbf{a} | \Psi \rangle \mapsto \mathbf{a}^{\dagger} | \Phi \rangle, \quad \forall \mathbf{a} \in \mathfrak{A}(\widetilde{\mathscr{U}})$$

- Why monotonicity?
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$$\end{split}$$

$$What is the difference?$$

V. Example

- Why monotonicity?
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Domains!

V. Example

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Domains!

And so what??

V. Example

- $\bullet \quad \tilde{\mathcal{U}} \subset \mathcal{U} \; \Rightarrow \; \mathfrak{A}(\tilde{\mathcal{U}}) \subset \mathfrak{A}(\mathcal{U})$
- The domain of $S_{\Psi|\Phi;\mathscr{U}}$ is larger than the domain of $S_{\Psi|\Phi;\widetilde{\mathscr{U}}}$.
- Extension of operator:

Let *X*, *Y* be unbounded operators on a Hilbert space \mathscr{H} (either both linear or both antilinear). If $\mathbf{Dom}(Y) \subset \mathbf{Dom}(X)$ and $Y|_{\mathbf{Dom}(Y)} = X|_{\mathbf{Dom}(Y)}$, then *X* is called an extension of *Y* (and usually written as $Y \subset X$).

$$Y \subset X \implies X^{\dagger}X \leqslant Y^{\dagger}Y \implies \log X^{\dagger}X \leqslant \log Y^{\dagger}Y$$

V. Example

• The definition of $X^{\dagger}X$:

Define the (positive definite) Hermitian form $F(|\chi\rangle, |\eta\rangle) = \langle X\chi | X\eta\rangle$, $\forall |\chi\rangle, |\eta\rangle \in \mathbf{Dom}(X)$, if $\langle \zeta | \psi \rangle = \langle X\xi | X\psi \rangle$ ($\langle \zeta | \psi \rangle = \langle X\psi | X\xi \rangle$ for antilinear *X*) holds for $\forall | \psi \rangle \in \mathbf{Dom}(X)$, one defines

$$X^{\dagger}X|\xi\rangle = |\zeta\rangle$$

- If two Hermitian form *F* and *G* on *H* agree where they are both defined and *F* is defined whenever *G* is defined. Then *F* is called an extension of *G*.
- In our problem, $S_{\Psi|\Phi;\mathscr{U}}$ is an extension of $S_{\Psi|\Phi;\widetilde{\mathcal{U}}}$, $\Delta_{\Psi|\Phi;\mathscr{U}} = S_{\Psi|\Phi;\mathscr{U}}^{\dagger} S_{\Psi|\Phi;\mathscr{U}}$ is an extension of $\Delta_{\Psi|\Phi;\widetilde{\mathcal{U}}} = S_{\Psi|\Phi;\widetilde{\mathcal{U}}}^{\dagger} S_{\Psi|\Phi;\widetilde{\mathcal{U}}}$.

V. Example

• To understand $Y \subset X \Rightarrow X^{\dagger}X \leq Y^{\dagger}Y$, Witten gives an example of n-dim quantum mechanics. We would like to simplify it to a 1-dim quantum mechanics example.



- Consider a free particle in 1-dim space region [0, 1], the Hilbert space is $L^2_{\mathbb{C}}(0, 1)$.
- The momentum operator could be defined either only for the wave functions with Dirichlet boundary condition $P_0 = -id_x$, or for general wave functions $P_1 = -id_x$.
- It is obviously that P_1 is an extension of P_0 .

V. Example

• The Hermitian forms are

$$F_{0}(\psi_{1},\psi_{2}) = \langle P_{0}\psi_{1} | P_{0}\psi_{2} \rangle = \int_{0}^{1} \frac{d\bar{\psi}_{1}}{dx} \frac{d\psi_{2}}{dx} dx$$
$$F_{1}(\psi_{1},\psi_{2}) = \langle P_{1}\psi_{1} | P_{1}\psi_{2} \rangle = \int_{0}^{1} \frac{d\bar{\psi}_{1}}{dx} \frac{d\psi_{2}}{dx} dx$$

• Is $P_i^{\dagger}P_i = -d_x^2 = \Delta$ the Laplacian operator?

V. Example

• The Hermitian forms are

$$F_{i}(\psi_{1},\psi_{2}) = \int_{0}^{1} \frac{d\bar{\psi}_{1}}{dx} \frac{d\psi_{2}}{dx} dx = \frac{d\bar{\psi}_{1}}{dx} \psi_{2} \Big|_{x=1} - \frac{d\bar{\psi}_{1}}{dx} \psi_{2} \Big|_{x=0} + \int_{0}^{1} \left(-\frac{d^{2}\bar{\psi}_{1}}{dx^{2}}\right) \psi_{2} dx$$

• For F_0 , because the wave functions satisfy the Dirichlet boundary condition, $\Delta_D = P_0^{\dagger}P_0$ is called the Dirichlet Laplacian

$$\langle P_0^{\dagger} P_0 \psi_1 | \psi_2 \rangle = \langle \Delta_D \psi_1 | \psi_2 \rangle = \int_0^1 \left(-\frac{d^2 \bar{\psi}_1}{dx^2} \right) \psi_2 dx$$

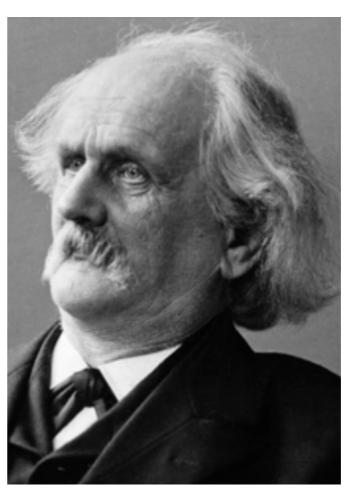
• For F_1 , because the wave functions do not satisfy the Dirichlet boundary condition, to ensure the contribution from first two terms vanishes, $\Delta_N = P_1^{\dagger}P_1$ can be defined only on the wave functions with $d\psi_1(0)/dx = d\psi_1(1)/dx = 0$, which is so called the Neumann Laplacian.

V. Example

• Does $\Delta_D \ge \Delta_N$?



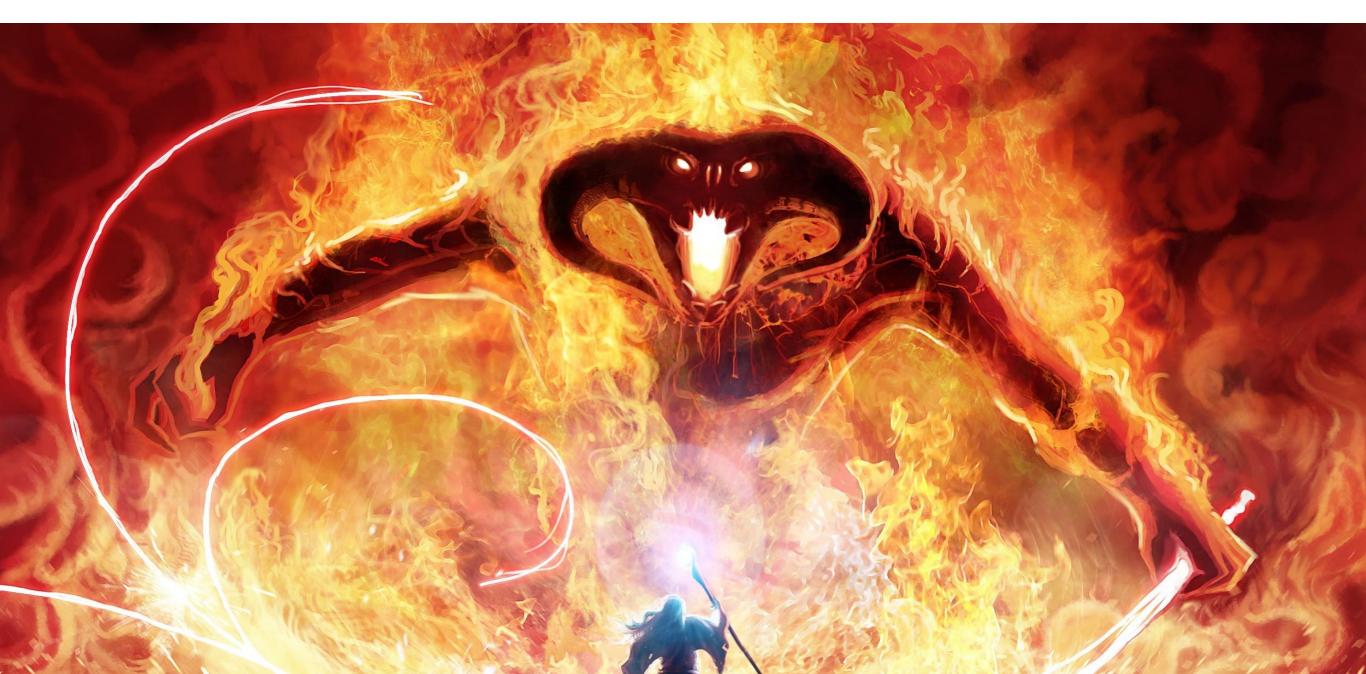
Johann Peter Gustav Lejeune Dirichlet (1805/02/13-1859/05/05)



Carl Gottfried Neumann (1832/05/07-1925/03/27)

V. Example

• Does $\Delta_D \ge \Delta_N$?



- Does $\Delta_D \ge \Delta_N$?
- For $\lambda \ge 0$, define the Hermitian form

$$G_{\lambda}(\psi_{1},\psi_{2}) = \int_{0}^{1} \frac{d\bar{\psi}_{1}}{dx} \frac{d\psi_{2}}{dx} dx + \lambda \left(\bar{\psi}_{1}\psi_{2}|_{x=1} + \bar{\psi}_{1}\psi_{2}|_{x=0}\right)$$

- It is obviously that G_λ(ψ, ψ) is increasing with λ for generic ψ and nondecreasing for all ψ.
- The operator associated with this Hermitian form is X_{λ} , which will be also increasing with λ .
- We want to use X_{λ} representing Δ_D and Δ_N .

V. Example

• This requires to assign suitable λ to give the "correct" domain for $X_{\lambda}\psi = \Delta\psi$, which means for all ψ_2 in the domain of G_{λ} :

$$\begin{split} \langle \Delta \psi_1 | \psi_2 \rangle &= G_{\lambda}(\psi_1, \psi_2) = \int_0^1 \frac{d\bar{\psi}_1}{dx} \frac{d\psi_2}{dx} dx + \lambda \left(\bar{\psi}_1 \psi_2 |_{x=1} + \bar{\psi}_1 \psi_2 |_{x=0} \right) \\ &= \int_0^1 \left(-\frac{d^2 \bar{\psi}_1}{dx^2} \psi_2 \right) dx + \frac{d\bar{\psi}_1}{dx} \psi_2 \Big|_{x=1} - \frac{d\bar{\psi}_1}{dx} \psi_2 \Big|_{x=0} + \lambda \left(\bar{\psi}_1 \psi_2 |_{x=1} + \bar{\psi}_1 \psi_2 |_{x=0} \right) \end{split}$$

V. Example

• This requires to assign suitable λ to give the "correct" domain for $X_{\lambda}\psi = \Delta\psi$, which means for all ψ_2 in the domain of G_{λ} :

$$\begin{split} \langle \Delta \psi_1 | \psi_2 \rangle &= G_{\lambda}(\psi_1, \psi_2) = \int_0^1 \frac{d\bar{\psi}_1}{dx} \frac{d\psi_2}{dx} dx + \lambda \left(\bar{\psi}_1 \psi_2 |_{x=1} + \bar{\psi}_1 \psi_2 |_{x=0} \right) \\ &= \int_0^1 \left(-\frac{d^2 \bar{\psi}_1}{dx^2} \psi_2 \right) dx + \frac{d\bar{\psi}_1}{dx} \psi_2 \Big|_{x=1} - \frac{d\bar{\psi}_1}{dx} \psi_2 \Big|_{x=0} + \lambda \left(\bar{\psi}_1 \psi_2 |_{x=1} + \bar{\psi}_1 \psi_2 |_{x=0} \right) \\ &\int \frac{d\psi_1}{dx} + \lambda \psi_1 = 0, \ x = 1 \end{split}$$

$$\Rightarrow \begin{cases} \frac{dx}{d\psi_1} \\ \frac{d\psi_1}{dx} - \lambda\psi_1 = 0, \ x = 0 \end{cases}$$

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• We have $X_{+\infty} \ge X_0$, which is just $\Delta_D \ge \Delta_N$.

V. Example

• $\Delta_D \ge \Delta_N$, what does it mean physically?

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- To fix the boundaries of the string on the wall, you have to pay some (probably huge) energy.

V. Example

• A finite dimensional example

 $X: \ \mathbb{C}^{n+m} = \mathbb{C}^n \times \mathbb{C}^m \to \mathbb{C}^{n+m} = \mathbb{C}^n \times \mathbb{C}^m$

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$$X = \begin{pmatrix} A & B \\ B^{\dagger} & C \end{pmatrix}, \quad X_{\lambda} = \begin{pmatrix} A & B \\ B^{\dagger} & C + \lambda \end{pmatrix}, \ \lambda \ge 0$$

In Witten's paper, there is a typo in this equation (3.59).

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$$\mathbf{1} = (s + X_{\lambda}) \frac{1}{s + X_{\lambda}} = \begin{pmatrix} s + A & B \\ B^{\dagger} & s + C + \lambda \end{pmatrix} \begin{pmatrix} a & b \\ b^{\dagger} & d \end{pmatrix} = \begin{pmatrix} sa + Aa + Bb^{\dagger} & sb + Ab + Bd \\ B^{\dagger}a + (s + C + \lambda)b^{\dagger} & B^{\dagger}b + (s + C + \lambda)d \end{pmatrix}$$

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 - In the limit $\lambda \to +\infty$

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$$\Rightarrow a \sim \frac{1}{s + A} \Rightarrow \mathbf{1} = \begin{pmatrix} \mathbf{1} & -(s + A)a^{\dagger}B/\lambda + Bd \\ 0 & -B^{\dagger}a^{\dagger}B/\lambda + \lambda d \end{pmatrix} \Rightarrow d \sim \frac{1}{\lambda}$$

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 - In the limit $\lambda \to +\infty$

$$\begin{split} \mathbf{1} &= \begin{pmatrix} sa + Aa + Bb^{\dagger} & sb + Ab + Bd \\ B^{\dagger}a + (s + C + \lambda)b^{\dagger} & B^{\dagger}b + (s + C + \lambda)d \end{pmatrix} \rightarrow \begin{pmatrix} sa + Aa + Bb^{\dagger} & sb + Ab + Bd \\ B^{\dagger}a + \lambda b^{\dagger} & B^{\dagger}b + \lambda d \end{pmatrix} \\ \Rightarrow & b^{\dagger} \sim -\frac{1}{\lambda}B^{\dagger}a \Rightarrow \mathbf{1} = \begin{pmatrix} (s + A - BB^{\dagger}/\lambda)a & -(s + A)a^{\dagger}B/\lambda + Bd \\ 0 & -B^{\dagger}a^{\dagger}B/\lambda + \lambda d \end{pmatrix} \\ \Rightarrow & a \sim \frac{1}{s + A} \Rightarrow \mathbf{1} = \begin{pmatrix} \mathbf{1} & -(s + A)a^{\dagger}B/\lambda + Bd \\ 0 & -B^{\dagger}a^{\dagger}B/\lambda + \lambda d \end{pmatrix} \Rightarrow d \sim \frac{1}{\lambda} \\ \Rightarrow & \frac{1}{s + X_{\lambda}} \sim \begin{pmatrix} 1/(s + A) & \mathcal{O}(1/\lambda) \\ \mathcal{O}(1/\lambda) & 1/\lambda \end{pmatrix} \end{split}$$

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$$\frac{1}{s+X} \ge \frac{1}{s+X_{\lambda}}, \ s \ge 0 \ \Rightarrow \ \forall \ \Psi \in \mathbb{C}^{n+m}, \ \langle \Psi | \frac{1}{s+X} | \Psi \rangle \ge \langle \Psi | \frac{1}{s+X_{\lambda}} | \Psi \rangle$$

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V. Example

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$$\langle \Psi | \frac{1}{s+X} | \Psi \rangle = \langle \psi | U' \frac{1}{s+X} U | \psi \rangle \ge \langle \psi | \frac{1}{s+A} | \psi \rangle$$

 $\Rightarrow \langle \psi | U^{\dagger}(\log X)U | \psi \rangle \leq \langle \psi | \log A | \psi \rangle$

V. Example

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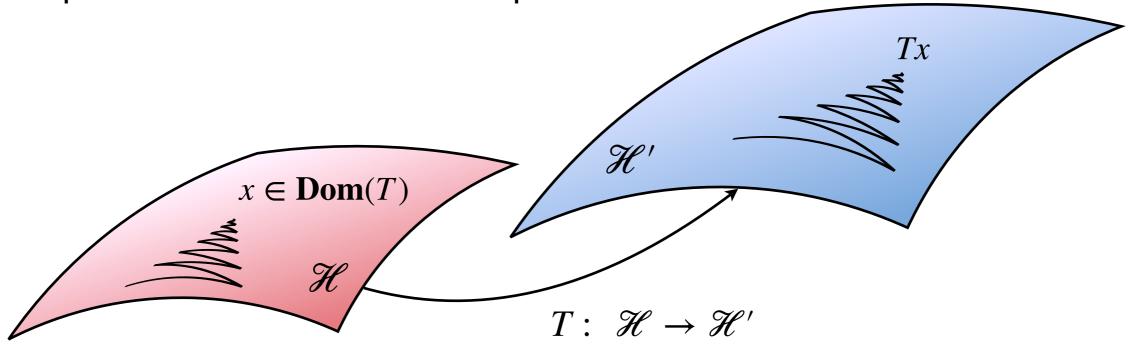
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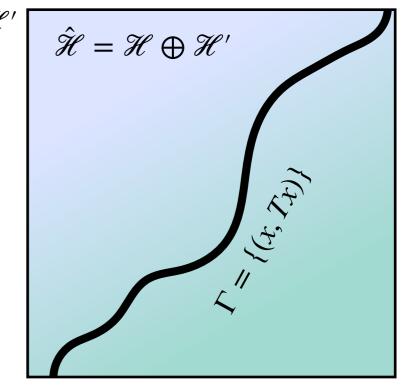
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 $\Rightarrow \langle \psi | U^{\dagger}(\log X)U | \psi \rangle \leq \langle \psi | \log(U^{\dagger}XU) | \psi \rangle$

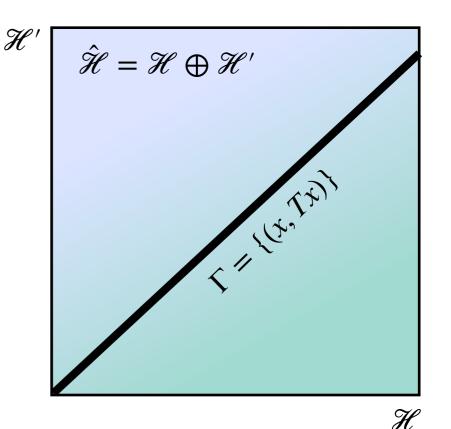
- Closed operator and its Graph
 - **Closed operator**: for an unbounded operator $T: \mathcal{H} \to \mathcal{H}'$, if for any sequence $\{x_n\}$ in its domain, the existence of the limits $\lim_{n \to \infty} x_n = x$, $\lim_{n \to \infty} Tx_n = y$ ensures $x \in \mathbf{Dom}(T)$ and Tx = y, then the operator is called a closed operator.



- Closed operator and its Graph
 - **Graph**: the set $\Gamma = \{(x, Tx) \mid x \in \text{Dom}(T)\}$ in $\hat{\mathscr{H}} = \mathscr{H} \oplus \mathscr{H}'$ is called the graph of the operator *T*.
 - T is closed operator $\Leftrightarrow \Gamma$ is closed subset of $\hat{\mathscr{H}}$



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 - T is closed linear operator $\Leftrightarrow \Gamma$ is Hilbert subspace of $\hat{\mathscr{H}}$



VI. The proof

- The orthogonal projector Π of Γ

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$$\psi \in \mathscr{H}, \chi \in \mathscr{H}', \Pi\begin{pmatrix}\psi\\\varphi\end{pmatrix} = \begin{pmatrix}x\\Tx\end{pmatrix} \text{ and } \begin{pmatrix}\psi-x\\\varphi-Tx\end{pmatrix} \perp \begin{pmatrix}x\\Tx\end{pmatrix}$$

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$$\Rightarrow \langle x | \psi - x \rangle + \langle Tx | \varphi - Tx \rangle = 0 \Rightarrow \langle x | \psi \rangle + \langle x | T^{\dagger}\varphi \rangle = \langle x | x \rangle + \langle x | T^{\dagger}Tx \rangle$$

VI. The proof

• The orthogonal projector Π of Γ $\psi \in \mathcal{H}, \chi \in \mathcal{H}', \Pi\begin{pmatrix} \psi \\ \varphi \end{pmatrix} = \begin{pmatrix} x \\ Tx \end{pmatrix}$ and $\begin{pmatrix} \psi - x \\ \varphi - Tx \end{pmatrix} \perp \begin{pmatrix} x \\ Tx \end{pmatrix}$ $\Rightarrow \langle x | \psi - x \rangle + \langle Tx | \varphi - Tx \rangle = 0 \Rightarrow \langle x | \psi \rangle + \langle x | T^{\dagger} \varphi \rangle = \langle x | x \rangle + \langle x | T^{\dagger} Tx \rangle$ $\Rightarrow \langle x | \psi + T^{\dagger} \varphi \rangle = \langle x | (1 + T^{\dagger}T) | x \rangle \Rightarrow | x \rangle = (1 + T^{\dagger}T)^{-1}(|\psi\rangle + T^{\dagger}|\varphi\rangle)$

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$$\begin{split} \psi \in \mathscr{H}, & \chi \in \mathscr{H}', \Pi \begin{pmatrix} \psi \\ \varphi \end{pmatrix} = \begin{pmatrix} x \\ Tx \end{pmatrix} \text{ and } \begin{pmatrix} \psi - x \\ \varphi - Tx \end{pmatrix} \perp \begin{pmatrix} x \\ Tx \end{pmatrix} \\ \Rightarrow & \langle x | \psi - x \rangle + \langle Tx | \varphi - Tx \rangle = 0 \Rightarrow \langle x | \psi \rangle + \langle x | T^{\dagger} \varphi \rangle = \langle x | x \rangle + \langle x | T^{\dagger} Tx \rangle \\ \Rightarrow & \langle x | \psi + T^{\dagger} \varphi \rangle = \langle x | (1 + T^{\dagger} T) | x \rangle \Rightarrow | x \rangle = (1 + T^{\dagger} T)^{-1} (| \psi \rangle + T^{\dagger} | \varphi \rangle) \end{split}$$

$$\Rightarrow \Pi = \begin{pmatrix} (1+T^{\dagger}T)^{-1} & (1+T^{\dagger}T)^{-1}T^{\dagger} \\ T(1+T^{\dagger}T)^{-1} & T(1+T^{\dagger}T)^{-1}T^{\dagger} \end{pmatrix}$$

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 $\psi \in \mathcal{H}, \chi \in \mathcal{H}', \Pi \begin{pmatrix} \psi \\ \varphi \end{pmatrix} = \begin{pmatrix} x \\ Tx \end{pmatrix} \text{ and } \begin{pmatrix} \psi - x \\ \varphi - Tx \end{pmatrix} \perp \begin{pmatrix} x \\ Tx \end{pmatrix}$ $\Rightarrow \langle x | \psi - x \rangle + \langle Tx | \varphi - Tx \rangle = 0 \Rightarrow \langle x | \psi \rangle + \langle x | T^{\dagger} \varphi \rangle = \langle x | x \rangle + \langle x | T^{\dagger} Tx \rangle$ $\Rightarrow \langle x | \psi + T^{\dagger} \varphi \rangle = \langle x | (1 + T^{\dagger} T) | x \rangle \Rightarrow | x \rangle = (1 + T^{\dagger} T)^{-1} (| \psi \rangle + T^{\dagger} | \varphi \rangle)$

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• The orthogonal projector Π and $(1 + T^{\dagger}T)^{-1}$, $(1 + T^{\dagger}T)^{-1}T^{\dagger}$, $T(1 + T^{\dagger}T)^{-1}$, $T(1 + T^{\dagger}T)^{-1}T^{\dagger}$ are all **bounded** operators so they can be defined on the whole $\hat{\mathscr{H}}$.

- The proof of the monotonicity of relative entropy
 - Let T_0 and T_1 are two closed operators and $T_0 \subset T_1$, then $\Gamma_0 \subseteq \Gamma_1$ and it is obviously that $\Pi_1 \ge \Pi_0 (\forall \Psi, \langle \Psi | \Pi_1 | \Psi \rangle \ge \langle \Psi | \Pi_0 | \Psi \rangle)$.

- For vectors
$$\Psi = \begin{pmatrix} \Psi \\ 0 \end{pmatrix}$$
 and operators $T_{0,1}/\sqrt{s}$

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 $\langle \psi | \frac{1}{s + T_0^{\dagger}T_0} | \psi \rangle \leqslant \langle \psi | \frac{1}{s + T_1^{\dagger}T_1} | \psi \rangle$
 $\Rightarrow T_1^{\dagger}T_1 \leqslant T_0^{\dagger}T_0, \quad \log T_1^{\dagger}T_1 \leqslant \log T_0^{\dagger}T_0$

- Some examples:
 - Linear unbounded operator *T*, $\lim_{n \to \infty} x_n = x$ but $\lim_{n \to \infty} Tx_n$ does not exist

VI. The proof

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 $n \rightarrow \infty$

$$\mathscr{H} = L^2_{\mathbb{C}}(0, 1), \quad T: f(x) \mapsto \frac{df}{dx}, \quad f_n(x) = \frac{\sqrt{2}}{n} \sin(n^2 \pi x)$$

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$$\|f_n\| = \frac{1}{n^2} \to 0 \quad \Rightarrow \quad \lim_{n \to \infty} f_n = 0$$

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$$Tf_n = \sqrt{2}n \cos(n^2 \pi x) \quad \Rightarrow \quad \|Tf_n\| = n, \quad \therefore \quad Tf_n \in L^2_{\mathbb{C}}(0, 1), \quad f_n \in \mathbf{Dom}(T)$$

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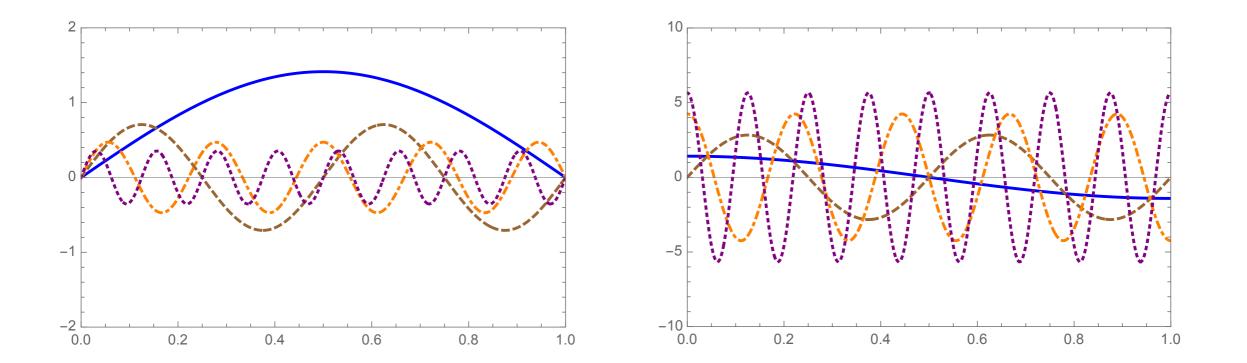
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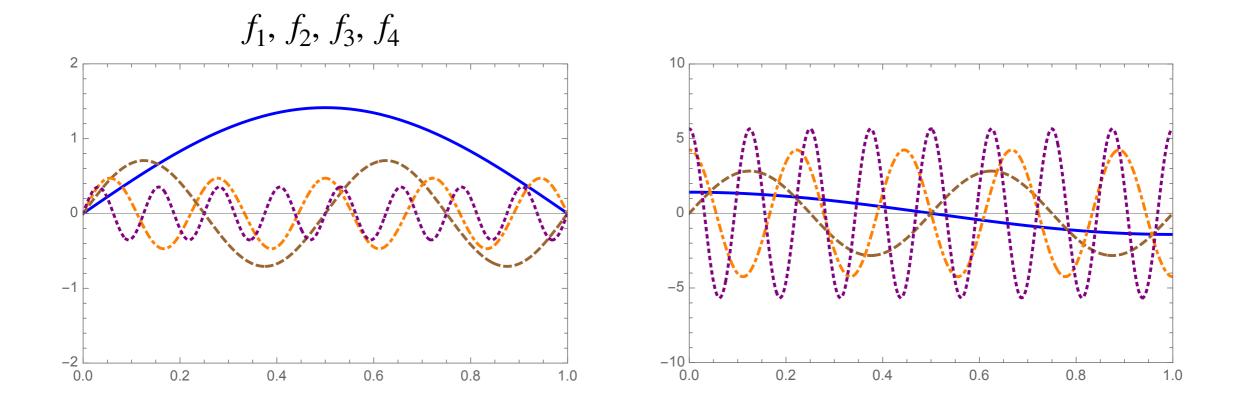
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But it is obviously that the limit $\lim_{n\to\infty} Tf_n$ does not exist.

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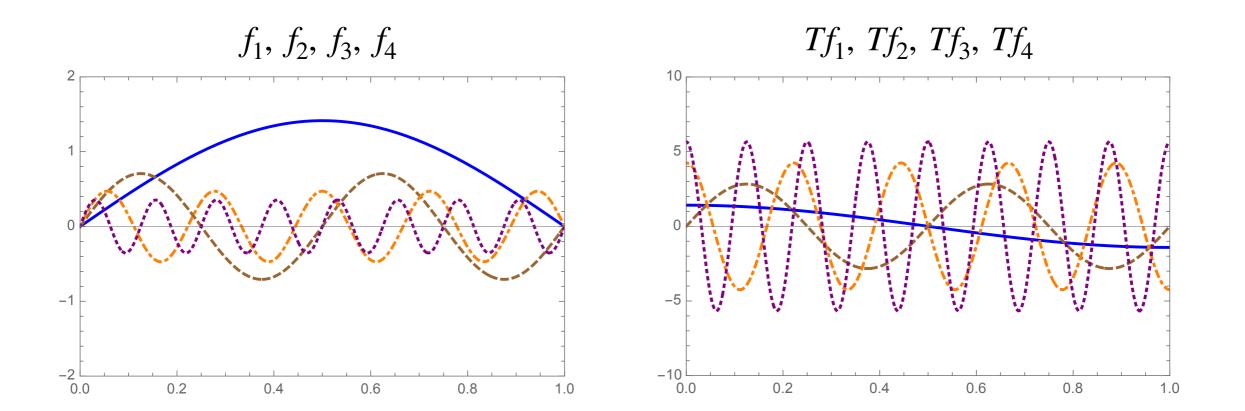
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 $n \rightarrow \infty$



- Some examples:
 - $\Gamma_0 \subsetneq \Gamma_1$: consider the 1-dim wave function with different boundary conditions again.

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VI. The proof

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 Γ_0 is of codimension two in Γ_1 .

To Be Continued...